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33448 7590 09/22/2004

ROBERT J. DEPKE LEWIS T. STEADMAN
 HOLLAND & KNIGHT LLC
 131 SOUTH DEARBORN
 30TH FLOOR
 CHICAGO, IL 60603

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Robert J. Depke (Depositor's name)
 (Signature)
 October 5, 2004 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/697,814	10/29/2003	Shuzo Sato	075834.00449	9555

TITLE OF INVENTION: **ELECTROCHEMICAL THIN FILM POLISHING METHOD AND POLISHING APPARATUS**

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1370.00	\$300	\$1670.00	12/22/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
VALENTINE, DONALD R	1742	204-22400M

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

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2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Robert J. Depke

2 Holland & Knight LLP

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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Sony Corporation

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Tokyo, Japan

10/12/2004 SZENDIE2 00000017 10697814

01 FC:1501

02 FC:1504

1370.00 OP

300.00 OP

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

Typed or printed name

Robert J. Depke

Date October 5, 2004

Registration No. 37,607

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/697,814	
	Filing Date	October 29, 2004	
	First Named Inventor	Shuzo Sato	
	Art Unit	1742	
	Examiner Name	Donald R. Valentine	
Total Number of Pages in This Submission	2	Attorney Docket Number	075834.00449

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Robert J. Depke, Holland & Knight LLP 131 South Dearborn Street, 30th Floor, Chicago, IL 60603
Signature	
Date	October 5 2004

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Typed or printed name	Robert J. Depke (Reg. No. 37,607)
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Date	October 5 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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